



PATENT  
Docket No.: 12706/10

JFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Yorio WADA, et al.  
SERIAL NO. : 10/807,144  
FILED : 24 March 2004  
FOR : OPTICAL FILTER AND OPTICAL DEVICE  
GROUP ART UNIT : (Unassigned)  
Examiner : (Unassigned)

COMMISSIONER FOR PATENTS  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97 & § 1.98**

SIR:

In conformance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(b)(3), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

In accordance with the requirements of 37 C.F.R. § 1.98, copies of the references are submitted herewith. The references were cited in the International Search Report of PCT/JP2004/003975. A copy of the Search Report is enclosed. The article by William H. Southwell has already been submitted, but is again listed on the PTO-1449 to correct a spelling error in the title of the article.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,

  
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(Reg. No. 25,951)

Dated: 3 August 2004

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## FORM PTO-1449

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT(S)

Atty Docket No. : 12706/10  
 Serial No. : 10/807,144  
 Inventors : Yorio WADA et al.  
 Filed : 24 March 2004  
 Group Art Unit :  
 Examiner :

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Patent Date	Name	Class/ Subclass	Filing Date
_____	6,115,180	09/05/00	HIRAI et al.	359/586	
_____					
_____					

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class/ Subclass	Translation Yes No
_____	2000-009928 0 967 496	01/14/00 12/29/99	Japan EPO		ABS. N/A
_____					
_____					

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial  
\_\_\_\_\_

\_\_\_\_\_

1. William H. Southwell: *Using Apodization Function to Reduce Sidelobes in Rugate Filters*, Appl. Opt., Vol. 28 (1989) pp. 5091-5094

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.